

Notice Regarding USA PATRIOT Act Section 311

Pursuant to U.S. regulations issued under Section 311 of the USA PATRIOT Act, Interactive Brokers is prohibited from establishing, maintaining, administering or managing an account for, or on behalf of the following financial institutions:

Banco Delta Asia (including its subsidiaries Delta Asia Credit Limited and Delta Asia Insurance Limited)
Commercial Bank of Syria (including its subsidiary Syrian Lebanese Commercial Bank)
Any Burmese banking institution (except to the extent permitted by 31 CFR 1010.651(b)(3))

The regulations also require us to notify you that your account with Interactive Brokers may not be used to provide services to any of the financial institutions or jurisdictions listed above with access to Interactive Brokers. If we become aware that any of the entities or jurisdictions listed above are directly or indirectly using the account you hold at Interactive Brokers, we will be required to take appropriate steps to prevent such access, including, where necessary, terminating your account. We may from time to time apprise you of additional entities that are added to this list as new restrictions are issued.